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48490 7590 06/07/2007

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Michael Lindsey	(Depositor's name)
<i>Mike Lindsey</i>	(Signature)
9/4/07	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/589,253	06/07/2000	Kerimean Engin	3212/3	8672

TITLE OF INVENTION: METHOD AND SYSTEM FOR EXCHANGE OF FINANCIAL INVESTMENT ADVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	09/07/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SUBRAMANIAN, NARAYANSWAMY	3692	705-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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Gavrilovich, Dodd & Lindsey LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Robert C. Osborne

Evanston, IL

Please check the appropriate assignee category or categories (will not be printed on the patent): ☒ Individual ☐ Corporation or other private group entity ☐ Government

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5. **Change in Entity Status** (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature *Mike Lindsey*
Typed or printed name **Michael Lindsey**

Date **4-SEPT-2007**

Registration No. **39,278**

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